

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Murphy et al. : Group Art Unit: TBA
 Application No.: - : Examiner: TBA
 Filed: Herewith : Attorney Docket: 19046.0001
 FOR: METHOD AND APPARATUS :
 FOR INTERNET BASED
 MANAGEMENT OF COMPLIANCE:
 DISTRIBUTION AND TRAINING

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
 STATUS (37 CFR § 1.9(d) AND § 1.27(b)) - SMALL BUSINESS CONCERN**

Commissioner for Patents
 Washington, D.C. 20231

Sir:

I hereby declare that I am:

- ☐ The owner of the small business concern identified below:
☒ An official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: CFM Partners, Inc.

ADDRESS OF CONCERN: 4435 Macomb Street, NW, Washington, D.C. 20016

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR § 121.8-18, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees under 35 USC § 41(a) and (b), in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement: (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I hereby declare that the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled:

**METHOD AND APPARATUS FOR INTERNET BASED MANAGEMENT OF COMPLIANCE
 DISTRIBUTION AND TRAINING**

described in:

- ☒ The specification filed herewith
☐ U.S. Application No. _____, filed _____
☐ U.S. Patent No. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR § 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a non-profit organization under 37 CFR § 1.9(e).

FULL NAME

ADDRESS

☐ Individual☒ Small Business☐ Non-Profit

*NOTE: Separate Verified Statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR § 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the Issue Fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC § 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this Verified Statement is directed.

NAME OF PERSON SIGNING: ELIZABETH A. MURPHYTITLE OF PERSON SIGNING: PRESIDENTADDRESS OF PERSON SIGNING: 3253 B Sutter Place, NW, Washington, DC 20037Signature Elizabeth A. Murphy Date 9-20-00

**COMBINED DECLARATION AND POWER OF ATTORNEY FOR
ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL,
DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND APPARATUS FOR INTERNET BASED MANAGEMENT OF COMPLIANCE
DISTRIBUTION AND TRAINING**

the specification of which

- a. ☒ is attached hereto
- b. ☐ was filed on _____ as Application No. _____ and was amended on _____. (if applicable).

PCT FILED APPLICATION ENTERING NATIONAL STAGE

- c. ☐ was described and claimed in International Application No. _____ filed on _____ and as amended on _____. (if any).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby specify the following as the correspondence address to which all communications about this application are to be directed:

SEND CORRESPONDENCE TO: Edward A. Pennington
SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
3000 K Street, N.W., Suite 300
Washington, D.C. 20007-5166

DIRECT TELEPHONE CALLS TO: Chadwick A. Jackson at (202) 424-7661

- ☐ I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or under § 365(b) of any foreign application(s) for patent or inventor's certificate or under § 365(a) of any PCT international application(s) designating at least one country other than the U.S. listed below and also have identified below such foreign application(s) for patent or inventor's certificate or such PCT international application(s) filed by me on the same subject matter having a filing date within twelve (12) months before that of the application on which priority is claimed:

- ☐ The attached 35 U.S.C. § 119 claim for priority for the application(s) listed below forms a part of this declaration.

Country/PCT	Application Number	Date of filing (day, month, yr)	Date of issue (day, month, yr)	Priority Claimed
				<input type="checkbox"/> Y <input type="checkbox"/> N
				<input type="checkbox"/> Y <input type="checkbox"/> N
				<input type="checkbox"/> Y <input type="checkbox"/> N

- ☐ I hereby claim the benefit under 35 U.S.C. § 119(e) of any U.S. provisional application(s) listed below.

Provisional Application No.

Date of filing (day, month, yr)

ADDITIONAL STATEMENTS FOR DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART OR PCT INTERNATIONAL APPLICATION(S) DESIGNATING THE U.S.)

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) or under § 365(c) of any PCT international application(s) designating the U.S. listed below.

US/PCT Application Serial No.	Filing Date,	Status (patented, pending, abandoned)/ U.S. application no. assigned (For PCT)
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US/PCT Application Serial No.	Filing Date,	Status (patented, pending, abandoned)/ U.S. application no. assigned (For PCT)
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- ☐ In this continuation-in-part application, insofar as the subject matter of any of the claims of this application is not disclosed in the above listed prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or Imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys and/or agents with full power of substitution and revocation, to prosecute this application, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith: Edward A. Pennington (Reg. No. 32,588); John P. Moran (Reg. No. 30,906); Michael A. Schwartz (Reg. No. 40,161); Robert C. Bertin (Reg. No. 41,488); Alicia A. Meros (Reg. No. 44,937); Chadwick A. Jackson (Reg. No. 46,495) and Edward J. Naidich (Reg. No. 43,826) all of Swidler Berlin Sheroff Friedman having an address of 3000 K Street, N.W., Suite 300, Washington, D.C. 20007-5116.

☒ I hereby authorize the U.S. attorneys and/or agents named hereinabove to accept and follow instructions from Chadwick A. Jackson, Reg. No. 46,495 as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and/or agents and me. In the event of a change in the person(s) from whom instructions may be taken I will so notify the U.S. attorneys and/or agents named hereinabove.

Full name of sole or first inventor: Elizabeth Ann Murphy

Inventor's signature* *Elizabeth A. Murphy*

Date *9/11/00*

Residence: 3253-B Sutton Place, NW, Washington DC 20016

Citizenship: USA

Post Office Address: 4435 Macomb Street, NW, Washington, DC 20016

Full name of second inventor: Patrick Joseph Murphy

Inventor's signature* *Patrick J. Murphy*

Date *9/11/00*

Residence: 3149 19th Street, NW, Washington, DC 20010

Citizenship: USA

Post Office Address: 4435 Macomb Street, NW, Washington, DC 20016

☐ ATTACHED IS ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR SIGNATURE BY THIRD AND SUBSEQUENT INVENTORS FORM.